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Jonathan E. Retsky - Reg. No. 34,415

Name of Applicant, Assignee, or  
Registered Representative

Signature

Date of Signature

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

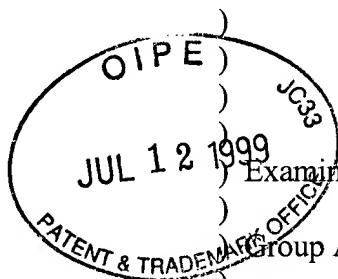
In re Application of:

Howard B. Garber

Serial No.: 08/868,200

Filed: June 3, 1997

For: SYSTEM AND METHOD FOR  
TRADING HAVING A PRINCIPAL  
MARKET MAKER



Examiner: J. Patel

Group Art Unit: 2765

Batch No. R67

**SUBMISSION OF FORMAL DRAWINGS**

Assistant Commissioner For Patents  
Box Issue Fee  
Washington, D.C. 20231  
Attn: Draftsman

Dear Sir:

Applicant hereby submits the enclosed nine (9) sheets (in triplicate) of formal drawings for the above-referenced application. The formal drawings reflect the changes requested in the Notice Of Allowability mailed April 12, 1999.

Respectfully submitted,

Jonathan E. Retsky

Reg. No. 34,415

Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. Box 10395  
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(312) 321-4200  
Dated: July 8, 1999